

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400
Facsimile: (703) 836-2787

Attorney Docket No.: 109460

Date: May 14, 2001

JC979 09/853708 05/14/01

BOX PATENT APPLICATION

NONPROVISIONAL APPLICATION TRANSMITTAL
RULE §1.53(b)

Customer Number: 25944

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title): METHOD AND APPARATUS FOR ESTABLISHING A SECURITY POLICY, AND
METHOD AND APPARATUS FOR SUPPORTING ESTABLISHMENT OF SECURITY
POLICY

By (Inventors): Takahiro SUGIMOTO

Formal drawings (Figs. 1-15; 15 sheets) are attached.
 Use Figure for front page of Publication.
A Declaration and Power of Attorney is filed herewith.
This patent application is assigned to Asgent, Inc.
 The executed Assignment is filed herewith.
 An Information Disclosure Statement is filed herewith.
 Entitlement to small entity status is hereby asserted.
A Preliminary Amendment is filed herewith.
Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. , filed --
 Priority of foreign applications No. 2000-164819 filed June 1, 2000 in Japan and No. 2001-132177 filed April 27, 2001 in Japan is claimed (35 U.S.C. §119).
 A certified copy of the above corresponding foreign application(s) is filed herewith.
 This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
 The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF
ANY PRELIMINARY AMENDMENT NOTED ABOVE

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	83 - 20	= 63
INDEP CLAIMS	18 - 3	= 15
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

* If the difference is less than zero, enter "0".

Check No. 118952 in the amount of \$3044.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

James A. Oliff
Registration No. 27,075Thomas J. Pardini
Registration No. 30,411